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| APPLICATION NO. | PPLICATION NO. FILING DAT | | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------------|---------------------------|------------|----------------------|---------------------|------------------|
| 10/064,146 | (| 06/14/2002 | Mark A. Kappel | 126063 | 3242 |
| 27256 | 7590 | 05/12/2005 | | EXAMINER | |
| ARTZ & A | | | | | |
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DATE MAILED: 05/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) Notification of Non-Compliant Appeal Brief KAPPEL ET AL. 10/064,146 (37 CFR 41.37) Examiner **Art Unit** Tim Phan 3729 --The MAILING DATE of this communication appears on the cover sheet with the correspondence address--The Appeal Brief filed on <u>07 March 2005</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37. To avoid dismissal of the appeal, applicant must file a complete new brief in compliance with 37 CFR 41.37 within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136. 1. The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed or confirmed. 2. withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent 4. 🛛 claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 6. 41.37(c)(1)(vii)). The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 7. 41.37(c)(1)(viii)). The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any 8. other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)). Other (including any explanation in support of the above items): 10.🖂 See accompanying Defective Appeal Brief.

DEFECTIVE APPEAL BRIEF

- 1. The Brief submitted by Applicants on 3/7/05 is defective under 37 CFR 41.37 for at least the following reasons:
 - The brief does not contain a statement of the status of all the claims, e.g., rejected, allowed or confirmed, withdrawn, objected to, or canceled, and identification of the claims being appealed as required by 37 CFR 41.37(c)(1)(iii). Claims 14-17 should be stated as withdrawn.
 - The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number, and to the drawing, if any, by reference characters; and/or does not identify the structure, material, or acts described in the specification as corresponding to each claimed function for every means plus function and step plus function for each independent claim involved in the appeal and for each dependent claim argued separately by reference to the specification by page and line number, and to the drawing, if any, by reference characters, as required by 37 CFR 41.37(c)(1)(v). Applicants are required to provide a concise explanation of the subject matter, as above, defined for these independent claims 1 and 10.

- The brief does not contain a concise statement of each ground of rejection presented for review as required by 37 CFR 41.37(c)(1)(vi). Applicants are required to provide a concise statement of each of the ground of rejection of each of the claims 6 and 8-14.
- The brief does not contain arguments of the appellant with respect to each ground of rejection presented for review, and the basis therefor, with citations of the statutes, regulations, authorities, and parts of the record relied on as required by 37 CFR 41.37(c)(1)(vii). Each ground of rejection must be treated under a separate heading. For each ground of rejection applying to two or more claims, the claims may be argued separately or as a group. Any claim argued separately should be placed under a subheading identifying the claim by number. A statement which merely points out what a claim recites will not be considered an argument for separate patentability of the claim. See 37 CFR 41.37(c)(1)(vii).

Appellants' counsel would be advised to carefully review each of the provisions of 37 CFR 41.37 before submitting an amended Appellants' Brief.

Appellants are required to comply with provisions of 37 CFR 41.37(c). To avoid dismissal of the appeal, Appellant must comply with the provisions of 37 CFR 41.37(c) within ONE MONTH or THIRTY DAYS, whichever is longer, from the mailing of this communication. Extensions of time may be granted under 37 CFR 1.136.

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2. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Tim Phan whose telephone number is 571-272-4568. The

examiner can normally be reached on M - F, 9AM - 5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Peter Vo can be reached on 571-272-4690. The fax phone number for the

organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tim Phan
Examiner

Art Unit 3729

A. DEXTËR TUGBANG PRIMARY EXAMINER (

tp

May 10, 2005